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Relaxed Shared Memory Management

User view ground model, replication policies and refined database view

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See Ch. 6 of Modeling Companion http://modelingbook.informatik.uni-ulm.de Illustrate the use of *concurrent communicating ambient ASMs*with dynamically changing set of agents
to define *ground models* and their *stepwise refinement*

CaseStudy: noSQL database system Cassandra, exhibiting
data replication and replica distribution (hidden to the user)
various replication policies (choosable by the user)
background propagation of updates (hidden to the user)

Three models will be defined:

- a user request/response pattern view (ground model ASM)
- a replication policy refinement
- a data center interaction view (ASM refinement)

Consistency issue when sharing distributed replicated data

- read/write consistency in ASM runs: in each step every agent atomically reads and/or writes in its locations l well-defined unique values
 - $-\operatorname{simultaneous}$ read/write actions of a location l are consistent
 - reading l returns the value of l in the current state whereas writing l determines the value of l in the next state
 - Management
 - conflicts due to simultaneous writes to a location l are forbidden by the consistency constraint on update sets
- how to guarantee consistency of read/write actions for locations l with (possibly distributed) replica l', l'', ... which are all considered to be legitimate copies of l?
 - example: IRIW (Independent Read Independent Write)

IRIW (Independent Read Independent Write) example

Assume the following:

• four agents a_1, \ldots, a_4 equipped with respective program

x := 1, y := 1, read(x) step read(y), read(y) step read(x)

a database with two replicas x', x'' resp. y', y'' of x, y

- a write to x resp. y triggers an immediate update of one of the replicas, say x' resp. y', and is followed later by an internal propagation action which performs an update also of the other replica a read action of x, y returns the value of one of the replicas
- Then in a concurrent run of a_i , started with value 0 for all replicas and in which each agent makes once its unique move, it may happen that a_3 reads x = 1, y = 0 and a_4 reads x = 0, y = 1
- depending on which replica is directly affected by a write/read request and on the propagation time

Users should know whether such db inconsistencies are to be expected.

• data organized by relations $P_i \subseteq K^{a_i} \times B^{c_i}$ $(1 \le i \le k)$ -data accessed only via primary key values (in a static set K^{a_i}) -pairs (p_i, k) with $k \in K^{a_i}$ represent for users a a 'shared' location • with value $eval_a^S(p_i(k))$ for states S of a and agent-dependent fct $p_i: K^{a_i} \to B^{c_i}$ (NB. db-agents execute an ambient ASM) relations horizontally fragmented via a hash fct on primary key values: $h_i: K^{a_i} \to [m, M] \subseteq \mathbb{Z}$ with [m, M] partitioned into intervals -i.e. $[m, M] = \bigcup_{j=1}^{q_i} range_j$ (range_{j1} < range_{j2} for all $j_1 < j_2$) hash fct h_i yields fragments $Frag_{i,i} = \{k \in K^{a_i} \mid h_i(k) \in range_i\}$ • P_i stored in fragments: r_i replicas of $Frag_{i,i}$ in data centers $d \in \mathcal{D}_i$ - a replica of $Frag_{i,i}$ is a set of all key/value pairs (k, v) with key in $Frag_{i,i}$ and (timestamped) value stored in memory (namely the data centers below) to 'serve as possible value of $p_i(k)$ '

Structure of data centers in Cassandra

a data center d consists of a set of nodes, say j' ∈ {1,...,n_i}, where replicas of fragments Frag_{j,i} are stored via replica fcts p_{i,j,d,j'}
- p_{i,j,d,j'} records the timestamped values p_{i,j,d,j'}(k) = (v, t) stored in d for a replica of Frag_{j,i}. Denote this by HoldsReplica(j', d, j, i).
for each relation P_i, Frag_{j,i} and all data centers, all replica functions for Frag_{j,i} are assumed to be defined for the same keys k ∈ Frag_{j,i} but may differ in their values:

- if HoldsReplica(j', d, j, i) and $HoldsReplica(j^*, d^*, j, i)$, then
 - $p_{i,j,d,j'}(k)$ is defined if and only if $p_{i,j,d^*,j^*}(k)$ is defined
 - $p_{i,j,d,j'}(k)$ and $p_{i,j,d^*,j^*}(k)$ may have different (relation or timestamp) value
- a memory system like Cassandra manages a set of data centers
 writing replicas (with fresh timestamp)
 - reading replicas (to return the one with latest timestamp)

Timestamps are evaluated resp. updated by a data center when it evaluates a read request resp. receives a write request.

Thus each data center d has a logical $clock_d$ indicating the current time at d and assumed to advance (here without further specification).

- 1. Timestamps are totally ordered.
- 2. Timestamps set by different data centers are different from each other.
- 3. Timestamps respect the inherent order of message passing, i. e. when data with a timestamp t is created at d and sent to d', then when the message is received, the clock at d' must show a time larger than t.
 for synchronization purposes a data center may adjust its clock: ADJUSTCLOCK(d, t) = (clock_d := t') where t' = the smallest possible timestamp at d with t ≤ t'

4. When a timestamp is set (except when adjusted), it is increased.

User view of the memory system (request/response pattern)

- users a interact with memory sending requests to and receiving answers from a dedicated data center $home(a) \in D_i$ to
 - $-write(p_i, p)$ where p may have multiple key/value-pairs (bulk write) $-read(p_i, \varphi)$ the current value of locations (p_i, k) for the set of keys $k \in K^{a_i}$ which satisfy condition $\varphi(k)$ (bulk read)
- home(a) answers to a by SENDing an $AckFor(write(p_i, p))$ resp. the current $ValFor(read(p_i, \varphi), \rho)$ of replicas in an appropriate set ρ .
- User a does not see how home(a)
- selects which replicas at which data centers in the cluster to read resp. update replica values
- propagates value updates and adjusts data center clocks
 - only compliance with a configurable read/write policy is guaranteed
- Therefore we keep this internal memory management and the read/write policies abstract in the ground model $DATACENTERUSERVIEW_i$.

if $Received(read(p_i, \varphi), from a)$ then forall $j \in \{1, \ldots, q_i\}$ -- for each fragment choose complying replicas **choose** $C_{i,j} \subseteq ReplicaNodes_{i,j}$ with $Complies(C_{i,j}, readPolicy)$ let $t_{max}(k) = -$ compute most recent timestamp (per key) $\max\{t \mid p_{i,j,d',j'}(k) = (v',t) \text{ for some } v', (d',j') \in C_{i,j}\}$ -- collect most recent defined values in j-th fragment let $\rho_{i,j} = \{(k, v) \mid k \in Frag_{j,i} \uparrow \varphi \text{ and } v \neq null \text{ and }$ $p_{i,j,d',j'}(k) = (v, t_{\max}(k))$ for some $(d', j') \in C_{i,j}$ let $\rho = \bigcup_{i=1}^{q_i} \rho_{i,j}$ -- collect values from all fragments SEND($ValFor(read(p_i, \varphi), \rho)$, to $a) -- current(p_i, \varphi)$ - values CONSUME($read(p_i, \varphi)$, from a) where $ReplicaNodes_{i,j} = -NB$. Data centers have different nodes

 $\{(d', j') \mid d' \in \mathcal{D}_i \text{ and } 1 \leq j' \leq n_i \text{ and } HoldsReplica(j', d', j, i)\}$

Definition of PERFORMWRITE ReQ_i

if $Received(write(p_i, p), \text{from } a)$ then

-- retrieve current data center time let $t_{current} = clock_{self}$ forall $j \in \{1, \ldots, q_i\}$ -- for each fragment choose complying replicas **choose** $C_{i,j} \subseteq ReplicaNodes_{i,j}$ with $Complies(C_{i,j}, writePolicy)$ forall $(d', j') \in C_{i,j}$ -- for each chosen d' and replica forall $(k, v) \in p$ with $h_i(k) \in range_i$ -- for each p-value forall v', t with $p_{i,i,d',i'}(k) = (v', t)$ and $t < t_{current}$ $p_{i,i,d',i'}(k) := (v, t_{current})$ -- update older db value PROPAGATE $(i, j, k, v, t_{current}, C_{i,j})$

-- propagate p-value to non-chosen replicas

if $clock_{d'} < t_{current}$ then $AdjustClock(d', t_{current})$ $Send(AckFor(write(p_i, p)), to a)$ $Consume(write(p_i, p), from a)$ $\begin{aligned} & \operatorname{PROPAGATE}(i, j, k, v, t, C) = \\ & \operatorname{let} b = \operatorname{new} (Agent) & -b \text{ executes asynchronously} \\ & pgm(b) := \\ & \operatorname{forall} (d', j') \in ReplicaNodes_{i,j} \setminus C-\text{-not yet considered replicas} \\ & \operatorname{forall} v', t' \text{ with } p_{i,j,d',j'}(k) = (v', t') \text{ and } t' < t \\ & p_{i,j,d',j'}(k) := (v, t) & -\operatorname{update older db value} \\ & \operatorname{if} clock_{d'} < t_{current} \text{ then } \operatorname{ADJUSTCLOCK}(d', t) \\ & \operatorname{DELETE}(b, Agent) \end{aligned}$

NB. In the ground model the propagation is formulated as happening in one step, in parallel for all involved replicas, whereafter the propagation agent is not needed any more. User request/response pattern view of the memory system

DATACENTERUSERVIEW_i =

ANSWERREADREQ $_i$

PERFORMWRITEREQ $_i$

Then $CLUSTERUSERVIEW_i$ is the concurrent ASM of all data center agents $d \in \mathcal{D}_i$ with $pgm(d) = DATACENTERUSERVIEW_i$.

NB. In a concurrent run of USERS and CLUSTERUSERVIEW_i, not furthermore restricted simultaneous read/write requests by different users may yield conflicts and/or inconsistencies, depending on
timing issues: for the communication, the reads/writes of memory locations, the propagation of writes
the replication policies

Cassandra uses replication policies to determine *tunable consistency*, i.e. the degree of consistency which can be guaranteed for read/write actions in concurrent runs.

Technically, *readPolicy* and *writePolicy* are used to determine how many and where replicas are to be taken into account to perform a user requested db read or write

• i.e. they are about the cardinality of the selected subsets $C_{i,j} \subseteq ReplicaNodes_{i,j}$ and about where—at which data centers—replicas are chosen, e.g.

- cardinality conditions: *one*, *two*, *three*, *all*
- $-\operatorname{locality}$ contraint: pair (num,At(d)) of the $num{\rm ber}$ of replica nodes and the data center d where they have to be taken
- -a quorum relation between $C_{i,j}$ and $ReplicaNodes_{i,j}$

Definition of *Complies*

Complies(C, policy) iff forsome (i, j) $C \subseteq ReplicaNodes_{i,j}$ and $\begin{cases} C = ReplicaNodes_{i,j} & \text{if } policy = all \\ |C| = num & \text{if } policy = num \\ |C| = num \text{ and } C = C \uparrow d \text{ if } policy = (num, At(d)) \\ q \cdot |ReplicaNodes_{i,j}| < |C| & \text{if } policy = quorum(q) \end{cases}$ where $num \in \{one, two, three\}$ and 0 < q < 1

 $C \uparrow d = \{ (d', j') \in C \mid d' = d \} \qquad \text{--replicas taken only in } d$

For exl. $q = \frac{1}{2}$ expresses that the majority of replicas is considered.

If readPolicy = writePolicy = all, then by the atomicity of ground model actions one can prove:

- for every read request the unique freshest replica value is returned,
 i.e. the one with latest timestamp in the db state in which the
 request is elaborated
- if one (and simultaneously no other) data center in a cluster receives a write request, this request triggers an update of all replicas in the db to the requested new value (NB. no propagation happens)
 under the *one* policy different replicas may have different values so that inconsistency phenomena can occur, as in the above IRIW exl.

For a rigorous definition and detailed analysis of other forms of consistency, achievable in the Cassandra ground model with appropriately restricted read/write policies, see the paper in the references below.

Idea: refine each atomic ground model step by letting home(a)

- DELEGATEEXTERNALREQ_i to each involved data center d' for the work to be done at its local replica nodes $(d', j') \in C_{i,j}$
 - $-\operatorname{FORWARDing}$ the received request from home(a) to every $d \in \mathcal{D}_i$
 - letting each data center MANAGEINTERNALREQ_i asynchronously
- trigger (as part of the DELEGATEEXTERNALREQ_i step) an instance of a MANAGERESPONSECOLLECTION_i process to asynchronously
 - collect the received local responses
 - send the final response from home(a) to the requestor
 - \bullet once the collected local responses are Sufficient for the underlying read/write policy

Therefore the refinement $CLUSTERMANAGEMENT_i$ of $CLUSTERUSERVIEW_i$ is defined as concurrent ASM of

• the *data center agents* $d \in D_i$, each equipped with program DATACENTERMANAGEMENT_i defined by:

 $\begin{array}{l} \textbf{DATACENTERMANAGEMENT}_{i} = \\ \textbf{DELEGATEEXTERNALREQ}_{i} \\ \textbf{MANAGEINTERNALREQ}_{i} \end{array}$

 the still alive response collector agents the data center agents create and equip with program MANAGERESPONSECOLLECTION_i
 which is defined as part of DELEGATEEXTERNALREQ_i
 upon receiving a request When a *request from some user* a is *Received*, the receiving data center agent performs two actions:

- FORWARD the reqest to all data centers $d \in \mathcal{D}_i$ for local handling
 - with info on current data center time and where to report the locally computed answer
 - \bullet computed on the basis of the replica data kept in the nodes of d
- Create a response collector agent c and INITIALIZE it
 - -enabling c to $\ensuremath{\mathsf{MANAGERESPONSeCOLLECTION}}$ asynchronously
 - including counting the number of locally inspected replicas, as reported from the data center agents, and needed to perform the global compliance check

where

FORWARD_i(r, c, t) = -- delegate response throughout the cluster forall $d \in \mathcal{D}_i$ do SEND((r, c, t), to d) -- including d =self $req \in \{read(p_i, \varphi), write(p_i, p)\}$ for some φ, p (with fixed p_i)

$Information\ needed\ to\ {\rm MANAGERESPONSeCOLLECTION}$

local record of the user, its *request*, the mediating data center d and of the set *ReadVal* of values received for a read *req* from
a counter for the number of inspected fragment replicas

needed for the policy compliance check

INITIALIZE_{*i*}(c, (r, usr, d)) = $pgm(c) := MANAGERESPONSECOLLECTION_i$ $ReadVal_{c} := \emptyset$ -- initialize set where to collect responses forall $d \in \mathcal{D}_i$ forall $1 \leq j \leq q_i$ -- inspected $Frag_{i,i}$ -replicas at d $count_c(j, d) := 0$ $count_c(j) := 0$ -- inspected $Frag_{i,i}$ -replicas -- record user request $request_c := r$ -- record user $requestor_c := usr$ $mediator_c := d$ -- record home(usr)

ManageInternal $\operatorname{Req}_i =$

if Received(req, c, t) then HANDLELOCALLY_i(req, c, t) CONSUME(req, c, t)

The ground model read/write actions are refined at each data center d by ${\rm HANDLELOCALLY}_i(req,\,c,\,t)$ which

- \blacksquare performs the read/write action only for the nodes of d
- \blacksquare performs the read/write action for all nodes which are Alive in d
- includes into the response the number of locally inspected nodes
 - because the policy compliance can only be performed globally, at the cluster level (here by the response collector c)

Therefore there are two versions $\text{HANDLELOCALLY}_i(read(p_i, \varphi), c, t)$ and $\text{HANDLELOCALLY}_i(write(p_i, p), c, t)$ to define. HANDLELOCALLY_i($read(p_i, \varphi), c, t$)

let $d = \operatorname{self} \in \mathcal{D}_i$ -- at the local data center dforall $j \in \{1, ..., q_i\}$ -- for each fragment let $G_{i,j,d} = \{j' \mid HoldsReplica(j', d, j, i) \text{ and } Alive(j', d)\}$ -- inspect replicas at all Alive d-nodes -- to compute their most recent timestamp let $t_{max}(k) =$ $\max\{t \mid p_{i,i,d,j'}(k) = (v',t) \text{ for some } v', j' \in G_{i,j,d}\}$ let $\rho_{i,j,d} = \{(k, v, t_{max}(k)) \mid k \in Frag_{j,i} \uparrow \varphi \text{ and } v \neq null \text{ and } i \neq null \}$ $p_{i,j,d,j'}(k) = (v, t_{\max}(k))$ for some $j' \in G_{i,j,d}$ -- collect at d most recent defined values in j-th fragment let $\rho_d = \bigcup_{i=1}^{q_i} \rho_{i,j,d}$ -- collect those values from all fragments let $x_d = (|G_{i,1,d}|, \dots, |G_{i,q_i,d}|)$ -- count inspected replicas SEND(LocalValFor(read($p_i, \varphi), \rho_d, x_d$), to c)

-- send local values to response collector

let $d = \text{self} \in \mathcal{D}_i$ -- at the local data center dforall $j \in \{1, ..., q_i\}$ -- for each fragment let $G_{i,j,d} = \{j' \mid HoldsReplica(j', d, j, i) \text{ and } Alive(j', d)\}$ -- inspect replicas at all *Alive d*-nodes forall $j' \in G_{i,i,d}$ -- for each of those replicas forall $(k, v) \in p$ with $k \in Frag_{i,i}$ -- for each update value in p if $p_{i,j,d,j'}(k) = (v', t')$ with t' < t forsome v', t'then $p_{i,i,d,i'}(k) := (v,t)$ -- update older values to p-value if $clock_d < t$ then ADJUSTCLOCK(d, t)let $x = (|G_{i,1,d}|, \dots, |G_{i,q_i,d}|)$ -- count inspected replicas $SEND(LocalAckFor(write(p_i, p), x), to c)$ -- send local ack to response collector

$MANAGERESPONSECOLLECTION_i$ must

- collect received internal local read/write responses
- send the final read/write response once the local responses collected so far turn out to be ResponsesSufficientFor the given policy

$MANAGERESPONSECOLLECTION_i =$

- $COLLECTLOCALREADRESPONSES_i$
- SENDREADRESPONSE
- $COLLECTLOCALWRITERESPONSES_i$
- SENDWRITERESPONSE

CollectLocalWriteResponses

Collecting write responses means to REFRESHREPLICACOUNT ■ NB. Write requests trigger only an ack, no values are sent back

$COLLECTLOCALWRITERESPONSES_i =$

if $Received(LocalAckFor(write(p_i, p), x), \text{from } d)$ then REFRESHREPLICACOUNT(x, d)CONSUME $(LocalAckFor(write(p_i, p), x), \text{from } d)$

where

 $\operatorname{RefreshReplicaCount}(x,d) =$

COLLECTLOCALREADRESPONSES =if $Received(LocalValFor(read(p_i, \varphi), \rho, x), from d)$ then forall k if there is some $(k, v, t) \in \rho$ then -- for each key k --with received local value vlet $(k, v, t) \in \rho$ if there is no $(k, v', t') \in ReadVal$ -- if key k new for collection **then** INSERT((k, v, t), ReadVal) -- collect received value else let $(k, v', t') \in ReadVal$ -- for k-value v' in collection if t' < t then -- with older timestamp DELETE((k, v', t'), ReadVal) -- replace old value INSERT((k, v, t), ReadVal)-- by new value REFRESHREPLICACOUNT(x, d)CONSUME(LocalValFor(read($p_i, \varphi), \rho, x$), from d)

SendReadResponse =

 $\label{eq:self} \begin{array}{ll} \text{if } ResponsesSufficientFor(readPolicy) \text{ and}} \\ IsReadReq(request_{self}) \\ \text{then let } \rho = \{(k,v) \mid (k,v,t) \in ReadVal \text{ forsome } t\} \\ & \text{SEND}(ValFor(request_{self},\rho), & --\text{ send the collected values} \\ & \text{from } mediator_{self}, \text{to } requestor_{self}) \\ & \text{DELETE}(self, Agent) & --\text{ collector kills itself} \\ \end{array}$

if ResponsesSufficientFor(writePolicy) and
 IsWriteReq(request(self)) then
 SEND(AckFor(request_self), -- send an acknowledgement
 from mediator(self), to requestor_self)
 DELETE(self, Agent)

ResponsesSufficientFor(num) iff

forall $1 \le j \le q_i \quad count(j) \ge num$ -- for each fragment

NB. \geq (instead of =) is due to the concurrency: when testing *ResponsesSufficientFor*, already more than *num* answers may have been received.

ResponsesSufficientFor(all) iff

forall $1 \le j \le q_i \ count(j) = |ReplicaNodes_{i,j}|$

ResponsesSufficientFor(quorum(q)) iff

forall $1 \le j \le q_i \ q \cdot |ReplicaNodes_{i,j}| < count(j)$

where

 $num \in \{one, two, three\}$ and 0 < q < 1

- Without restricting in the policy definition the set ReplicaNodes_{i,j} to Alive nodes, the delegate can send its answer only if all relevant replica nodes are Alive during the response providing process.
- This would contradict however the spirit of using replicas, namely to be on the safe side even when some replica holding node happens to be unreachable.
- To describe a satisfactory solution of the problem more information is needed on how not *Alive* nodes are treated for the various policies.
- NB. A typical meaning of being *Alive* is that a node in a data center is accessible and 'replies fast enough' to the request, providing the values they record.

Relating CLUSTERUSERVIEW_i and CLUSTERMANAGEMENT_i

- For each user request to its home data center in a concurrent run with the abstract machine CLUSTERUSERVIEW_i
 - -where the response is computed using the abstract program $DATACENTERUSERVIEW_i$

one can construct an equivalent interaction (i.e. with same read/write effect) between the user and its home data center in a concurrent run with the refined machine $CLUSTERMANAGEMENT_i$

- -where the response is computed interactively by the data center agents $d \in D_i$ using the refined programs DATACENTERMANAGEMENT_i
- The inverse holds only under additional assumptions on the serializability of read/write requests and answers, using some form of transaction
 - -see the example below
 - $\, {\rm for} \,$ a detailed analysis see the references

An (undesirable?) run example for CLUSTERMANAGEMENT_i

- let replicas x₁ at d₁ and x₂ at d₂ of x be initialized by 0
 assume write policy all and read policy one
- let a_1 issue a write request x := 1 and thereafter a_2 issue two successive read requests for x
- The following $CLUSTERMANAGEMENT_i$ scenario one wouldn't expect from the ground model $CLUSTERUSERVIEW_i$ is possible:
- the write request by a_1 leads to
 - —an update of x_1 to 1 before a_2 issues its first read request for x
 - which is answered by the value of replica x_1 , namely 1
 - a later update of x_2 to 1 after the second read request has been answered
 - namely by the initial value 0 of replica x_2

although meantime no new write request has been sent.

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