

# Egon Börger (Pisa) & Alexander Raschke (Ulm)

Definition of ASMs

Syntax and Semantics

Università di Pisa, Dipartimento di Informatica, boerger@di.unipi.it  
Universität Ulm, Abteilung Informatik, alexander.raschke@uni-ulm.de

See Ch. 7 of Modeling Companion  
<http://modelingbook.informatik.uni-ulm.de>

# Syntax: ASM program/rule (over given signature $\Sigma$ )

Update rule:  $f(t_1, \dots, t_n) := t$  is an ASM program

- for every  $n$ -ary function symbol  $f \in \Sigma$  where  $n \geq 0$  and  $t_i, t$  are expressions (terms) over  $\Sigma$
- meaning: evaluate  $(t_1, \dots, t_n, t)$ , use the result  $(a_1, \dots, a_n, a)$  to update the interpretation of  $f$  at argument  $(a_1, \dots, a_n)$  to value  $a$ .

Conditional rule: **if** *Condition* **then**  $P$  **else**  $Q$  is an ASM program

- for each Boolean expression *Condition* and ASM programs  $P, Q$
- meaning: if *Condition* evaluates to *true* execute  $P$ , otherwise  $Q$ .

Block (Par) rule:  $P$  **par**  $Q$  is an ASM program

- for any ASM programs  $P, Q$
- meaning: execute  $P$  and  $Q$  in parallel, simultaneously in the given state.

# Syntax: let and Macro programs

**Let rule:**  $\text{let } x = t \text{ in } P$  is an ASM program

- for each expression (term)  $t$  and ASM program  $P$
- meaning : evaluate  $t$ , assign the computed value to  $x$  and then execute  $P$  with this value for  $x$  ('call by value').

NB. The scope of  $x$  is  $P$ .

**Call (Macro) rule:**  $Q(t_1, \dots, t_n)$  is an ASM program

- for every *rule declaration*  $Q(x_1, \dots, x_n) = P$  where
  - $P$  is an ASM program
  - $t_i$  are expressions
  - all free variables in  $P$  are among  $x_1, \dots, x_n$
- meaning: execute  $Q$  with parameters  $(t_1, \dots, t_n)$  ('call by name').

## Syntax: choose and forall programs

**Forall rule:** **forall**  $x$  **with**  $Property$  **do**  $P$  is an ASM program

- for each Boolean-valued expression  $Property$  and ASM program  $P$
- meaning: execute simultaneously every  $P(x)$  where  $x$  satisfies the  $Property(x)$  (in the given state).

NB. The scope of  $x$  ranges over  $Property$  and  $P$ .

**Choose rule:** **choose**  $x$  **with**  $Property$  **do**  $P$  is an ASM program

- for each Boolean-valued expression  $Property$  and ASM program  $P$
- meaning: choose an  $x$  satisfying  $Property(x)$  and execute with it  $P(x)$ .

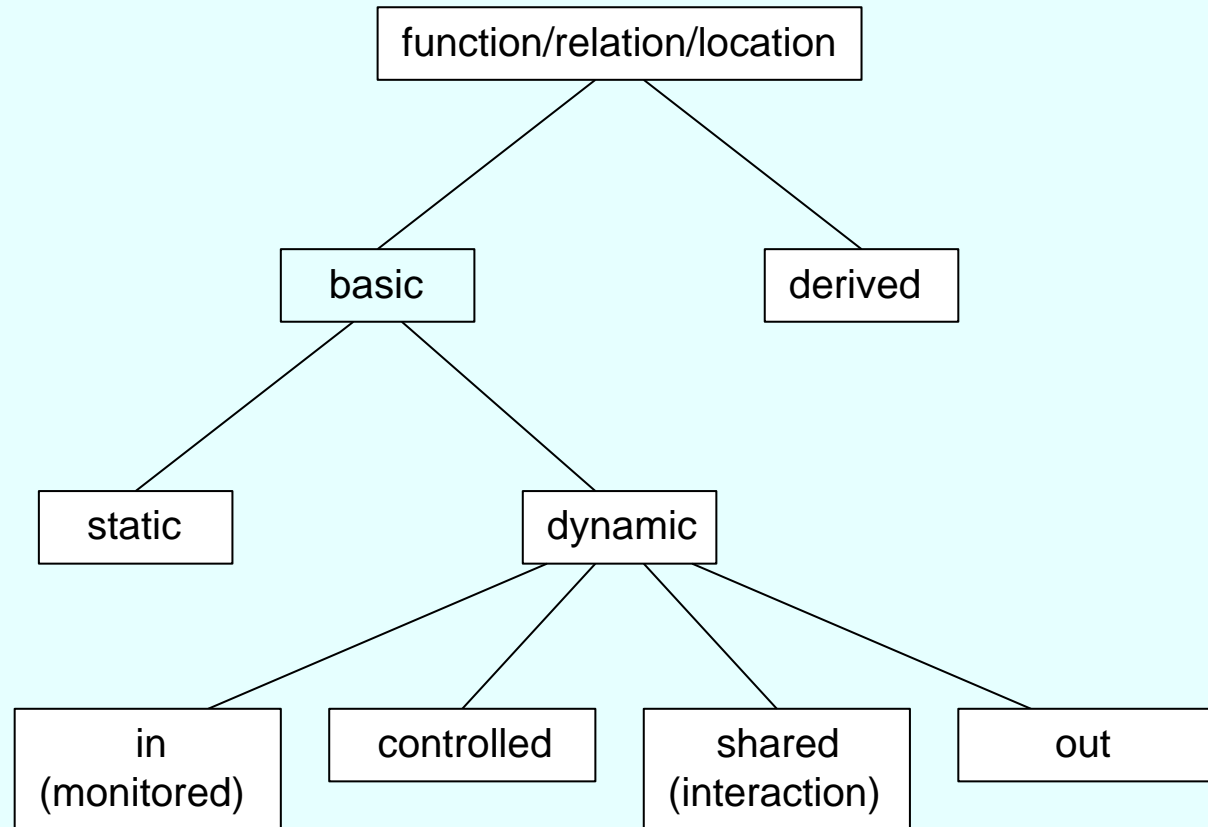
NB. The scope of  $x$  ranges over  $Property$  and  $P$ .

## Syntax: signature, ASM

- *Signature* (vocabulary)  $\Sigma$  (of an ASM program) is a set of function symbols  $f^n$  of arity  $n \geq 0$  (comprising all those which occur in the expressions of the ASM program)
  - including 0-ary functions (*constants*) *true*, *false*, **undef** (static)
  - possibly including a 0-ary (dynamic) function **self**
  - possibly including a (dynamic) unary function **new**
- Predicates/Relations are treated as characteristic functions (with values in  $\{true, false, \mathbf{undef}\}$ )
- Sometimes **skip** is used as ASM program which does nothing

An **ASM** is defined over a signature by a *main* program (with name of arity 0), a set of rule declarations and a set of initial states.

# Classification of functions/locations (of an ASM program)



A ‘derived’  $f$  has a fixed definition for each  $f(x)$ . For ‘controlled’  $f$ , each  $f(x)$  can be read and written by and only by the given ASM program  $P$ . For ‘monitored’ resp. ‘out’  $f$ ,  $f(x)$  is read-only resp. write-only for  $P$ .<sup>1</sup>

<sup>1</sup> Figure from AsmBook, © 2003 Springer-Verlag Berlin Heidelberg, reused with permission

## States (signature interpretation)

- A domain (*superuniverse*)  $D$  together with an interpretation of each function symbol  $f^n$  in  $\Sigma$  as a function  $f_S : D^n \rightarrow D$  is called a **state**  $S$  (of the given ASM program)
  - with *true*, *false*, **undef** interpreted by pairwise distinct elements.
- Expressions  $t$  are evaluated in state  $S$  in the usual way, denoted by *eval*( $t, S, env$ ).
  - The *environment* is an interpretation of all free variables (in the given ASM program) by elements of the superuniverse.
  - $S$  and/or *env* are omitted if they are clear from the context.
- Elements of the superuniverse are also called elements of a state.
- States (the function interpretation) may change, but the superuniverse does not change (see Reserve set below)

# State changes by sets of function updates

- a **location** (in  $S$ ) is a pair  $(f^n, (v_1, \dots, v_n))$  (*memory unit*)
  - with  $f^n \in \Sigma$  and elements  $v_i$  (of  $S$ )
- $f_S^n(a_1, \dots, a_n)$  is called *content* of  $l$  in  $S$ , denoted  $S(l)$ 
  - $f$  is called the function symbol of  $l$ , denoted  $fctSymbol(l)$
- an **update** (in  $S$ ) is a location/value pair  $(l, v)$  where
  - $l = (f^n, (v_1, \dots, v_n))$  is a location (of  $S$ )
  - $v$  is an element (in  $S$ ), -- used as value to update the content of  $l$
- an *update set* is a set of updates
- an update set is *consistent* if it does not contain two updates for the same location (i.e. with different values)
- *firing an update set*  $U$  in state  $S$  yields the **sequel**  $S + U$  of  $S$  whose content of any location  $l$  is defined by:

$$S + U(l) = \begin{cases} v & \text{if there is some } (l, v) \in U \\ S(l) & \text{if there is no } (l, v) \in U \end{cases}$$



# Structural and elementwise state/memory view

The current state  $S$  of a given ASM program is denoted by *currstate*.

- *currstate* is viewed as a derived function.
- *currstate* is implicitly parameterized by an ASM program or a program executing agent.

The values of *currstate* can be seen in two ways:

- structurally: as a family of function tables over  $D$ :  $(D, (f_S)_{f \in \Sigma})$ 
  - what in logic is called an *algebra* (or Tarski structure)

- elementwise: as the set of all memory units

$$((f, (v_1, \dots, v_n)), f_S(v_1, \dots, v_n))$$

over  $D$  and  $\Sigma$  with their value, i.e. pairs of locations with their content

# ASM program semantics: computed update sets

An ASM *P*rogram *Yields* in a *S*tate with a given *env*ironment (interpretation of its free variables) an *U*ppdate set recursively:

$Yields(\mathbf{skip}, S, env, \emptyset)$  -- **skip** does nothing

$Yields(f(t_1, \dots, t_n) := t, S, env, \{((f, (v_1, \dots, v_n)), v)\})$  -- assign  
**where**  $v_i = eval(t_i, S, env)$  **and**  $v = eval(t, S, env)$

$Yields(\mathbf{if} \textit{Cond} \mathbf{then} P \mathbf{else} Q, S, env, U)$  **if** -- conditional  
 $Yields(P, S, env, U)$  **and**  $eval(Cond, S, env) = true$   
**or**  $Yields(Q, S, env, U)$  **and**  $eval(Cond, S, env) = false$

$Yields(P \mathbf{par} Q, S, env, U \cup V)$  **if** -- **par** block  
 $Upd(P, S, env, U)$  **and**  $Upd(Q, S, env, V)$

## ASM program semantics: let, forall, Call

$Yields(\mathbf{let } x = t \mathbf{ in } P, S, env, U) \mathbf{ if}$  -- call by value  
 $Yields(P, S, env[x \mapsto eval(t, S, env)], U)$

$Yields(\mathbf{forall } x \mathbf{ with } Prop \mathbf{ do } P, S, env, \bigcup_{a \in I} U_a) \mathbf{ if}$  -- forall  
 $\mathbf{forall } a \in I Yields(P, S, env[x \mapsto a], U_a)$   
 $\mathbf{where } I = \{a \mid eval(Prop(a), S, env[x \mapsto a]) = true\}$

$Yields(Q(t_1, \dots, t_n), S, env, U) \mathbf{ if}$   
 $Yields(P(x_1/t_1, \dots, x_n/t_n), S, env, U)$   
 $\mathbf{where } Q(x_1, \dots, x_n) = P \text{ is a rule declaration}$  -- call by name

NB. Up to here,  $U$  is even a function of  $P, S, env$ . There is no non-determinism. So one can write  $U = Upd(P, S, env)$  instead of  $Yields(P, S, env, U)$ .

# ASM program semantics: choose (non functional *Yields*)

$Yields(\mathbf{choose } x \mathbf{ with } Prop \mathbf{ do } P, S, env, U)$  -- choose  
    **if forsome**  $a$  **with**  $eval(Prop(a), S, env[x \mapsto a]) = true$   
         $Yields(P, S, env[x \mapsto a], U)$

$Yields(\mathbf{choose } x \mathbf{ with } Prop \mathbf{ do } P, S, env, \emptyset)$  -- if no choice do nothing  
    **if forall**  $a$   $eval(Prop(a), S, env[x \mapsto a]) = false$

## ASM Semantics: single-agent ASM run

An ASM with main rule  $P$  can make a **move** (or *step*) from state  $S$  (with given  $env$ ) to the sequel state  $S' = S + U$ , written  $S \Rightarrow_P S'$ , if  $Yields(P, S, env, U)$  for a consistent set  $U$  of updates.

The updates in  $U$  are called *internal* to distinguish them from updates of monitored or shared locations; the sequel is called the next internal state.

A **run** or *execution* of  $P$  is a finite or infinite sequence  $S_0, S_1, \dots$  of states (of the signature of  $P$ ) such that

- $S_0$  is an initial state,
- for each  $n$ 
  - either  $S_n \Rightarrow_P S'_n$  and  $S_{n+1} = S'_n + U$  with a consistent update set  $U$  produced by the environment for monitored or shared locations
  - or  $P$  cannot make a move in state  $S_n$  (i. e. produces an inconsistent update set). In this case  $S_n$  is called the last state in the run.

## *Reserve set and the function new*

- **new** ( $X$ ) provides a 'fresh' element and makes it an element of  $X$
- 'Fresh' elements come from a (dynamic) *Reserve* set which contains elements of a state that are not in the domain or range of any basic function of the state.
- Parallel calls of **new** are assumed to provide different elements.

The effect of **let**  $x = \mathbf{new}$  ( $X$ ) **in**  $P$  is often described by:

**import**  $x$  **do**

$X(x) := true$

-- place  $x$  into the set  $X$

$P$

-- Execute  $P$  with  $x$

with corresponding **import** rules. See *AsmBook* for details.

# Multi-Agent ASM: Syntax

A **multi-agent ASM**  $\mathcal{M}$  is a family

$$(ag(p), pgm(p))_{p \in Process}$$

of single-agent ASMs consisting of a set of *Processes*  $p$  viewed as

- agents  $ag(p)$  which execute step by step ('sequentially')
- each its ASM program  $pgm(p)$  (of signature  $\Sigma_p$ )
- interacting with each other via reading/writing in designated (shared or input/output) locations.

$ag : Process \rightarrow Agent$ ,  $pgm : Process \rightarrow AsmRule$  may be dynamic.

## Atomic reads/writes in concurrent ASM runs

- A single agent ASM
  - performs in each state  $S_n$  of a run both, reads and writes, as one read&write step (one atomic action) resulting in the sequel state  $S'_n$
  - is synchronized with its environment which (in one atomic step) updates  $S'_n$  to the next state  $S_{n+1}$  in the run.
- In concurrent ASM runs, different agents
  - may perform their read/write actions asynchronously, reading in one state and writing to another state, each agent at its own speed,
  - interact via reads/writes of *interaction* (i.e. in/shared/out) locations.
- Thus we emulate an atomic read&write step of  $pgm(p)$  by a program  $\text{CONCURSTEP}(pgm(p))$  to perform either directly this atomic read&write step of  $pgm(p)$  or three consecutive atomic actions:  
    read&SaveGlobalData, LocalWriteStep, WriteBack  
which in a concurrent run may happen asynchronously, in different states (at different moments of time).



# Multi-Agent ASM: Semantics

A **concurrent run** of a multi-agent ASM  $\mathcal{M}$  is

- a sequence  $(S_0, P_0), (S_1, P_1), \dots$  of states  $S_n$ , subsets  $P_n \subseteq \text{Process}$
- such that each state  $S_{n+1}$  is obtained from  $S_n$  by applying to it all the updates computed by any process  $p \in P_n$ 
  - formally  $S_{n+1} = S_n + \bigcup_{p \in P_n} U_p$  where for given *environment*  $\text{Yields}(\text{CONCURSTEP}(\text{pgm}(p)), S_n, \text{env}, U_p)$  holds.

The run terminates in state  $S_n$  if the updates computed by the agents in  $P_n$  are inconsistent.

NB. We define  $\text{CONCURSTEP}(\text{pgm}(p))$  such that each of its possible substeps (which together emulate one read&write step of  $\text{pgm}(p)$ ), when executed by  $p \in P_n$ , is an atomic single-agent read&write step in  $S_n$ .

NB. The signature of states is the union of  $\Sigma_p$  for all  $p \in \text{Process}$ .

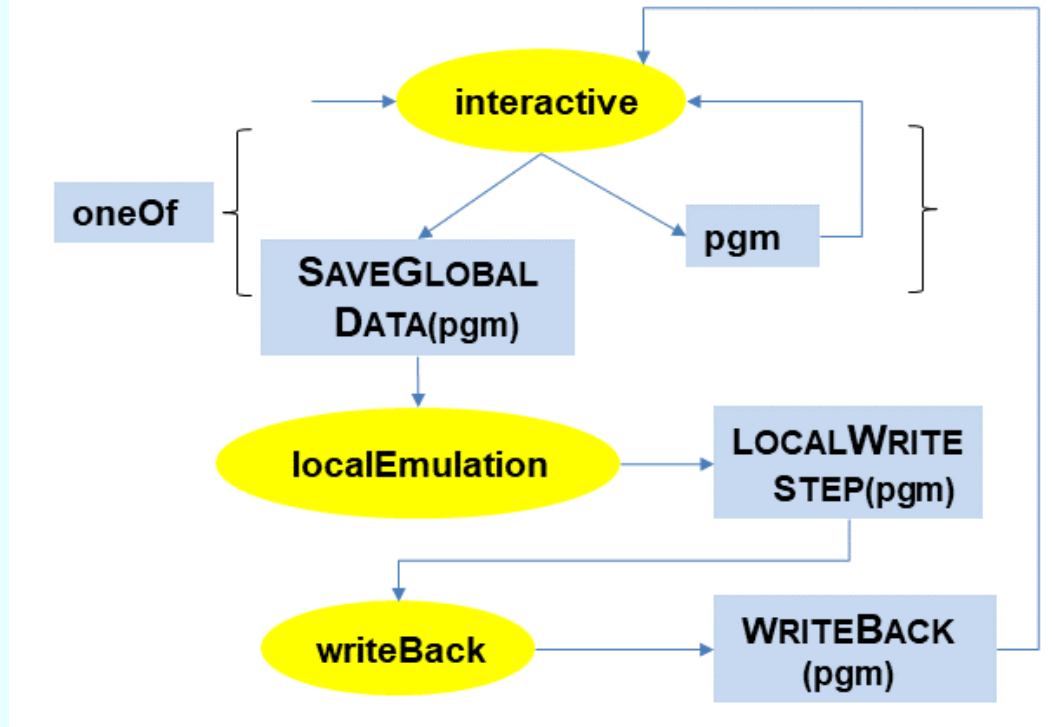
## Interactive and local states in concurrent ASM runs

When  $p \in P_n$  contributes to build  $S_{n+1}$  by executing one of the CONCURSTEPS of  $pgm(p)$ , it is in one of three (resp.two) modes:

- in *interactive* mode  $p$  reads in state  $S_n$  the data needed to perform the step described by the given  $pgm(p)$ . To build  $S_{n+1}$  out of  $S_n$ :
  - either  $p$  directly computes its update set  $U_p$ , in  $S_n$ , and applies it to  $S_n$ , possibly updating some interaction locations
  - or  $p$  does SAVEGLOBALDATA locally and switches to locally compute  $U_p$ , updating only local locations
- in *localEmulation* mode  $p$  computes a local copy of  $U_p$ , using the previously saved global data, and switches to WRITEBACK to interaction locations, updating only local locations
- in *writeBack* mode  $p$  will WRITEBACK to those (globally visible) interaction locations whose values it has updated locally (by executing an assignment  $f_p(s) := t$  in its preceding *mode = localEmulation*).

NB. In the 2-step version writeBack mode is suppressed.

# Three-step version of $\text{CONCURSTEP}(pgm)$



$\text{LOCALWRITESTEP}(pgm)$  results from replacing in  $pgm$

- every in/shared/out function symbol  $f$  by a new local function symbol  $f_p$ , where  $p = ag(pgm)$  (used to locally **SAVEGLOBALDATA**)
- adding  $\text{INSERT}(updData(f_p, s, t), GlobalUpd)$  in parallel to each  $f_p(s) := t$  (used to define **WRITEBACK** to shared/out locations)

## Two-step version of $\text{CONCURSTEP}(p\text{gm})$

**choose**  $M \in \{\text{READ\&WRITESTEP}(p\text{gm}), \text{READSTEP}(p\text{gm})\}$

$M$

**if**  $\text{mode} = \text{localEmulation}$  **then**

$\text{LOCALEMULATION}(p\text{gm}) \quad \text{mode} := \text{interactive}$

**where**

$\text{READ\&WRITESTEP}(p\text{gm}) =$  -- NB.  $\text{mode}$  does not change

**if**  $\text{mode} = \text{interactive}$  **then**  $p\text{gm}$

$\text{READSTEP}(p\text{gm}) =$  -- NB.  $\text{mode}$  change leads to write step

**if**  $\text{mode} = \text{interactive}$  **then**

$\text{SAVEGLOBALDATA}(p\text{gm}) \quad \text{mode} := \text{localEmulation}$

$\text{LOCALEMULATION}(p\text{gm}) =$  -- emulation of write step

$\text{LOCALWRITESTEP}(p\text{gm}) \text{ seq } \text{WRITEBACK}(p\text{gm})$

NB. Turbo ASM operator **seq** guarantees atomic 1-step execution.

## Submachines of $\text{CONCURSTEP}(p)$

$\text{SAVEGLOBALDATA}(pgm)$  and  $\text{WRITEBACK}(pgm)$  transfer values between the globally visible interaction functions and their local copies.

- $\text{SAVEGLOBALDATA}(pgm)$  copies the current values of monitored and shared function terms  $f(t)$  of  $pgm$  into a local copy  $f_p(t)$  which is controlled by  $p$  ( $p = \mathbf{self} = ag(pgms)$ ):

$\text{SAVEGLOBALDATA}(pgm) = \mathbf{forall} f \in \text{Monitored} \cup \text{Shared} f_p := f$

NB.  $f := g$  abbreviates  $\mathbf{forall} args f(args) := g(args)$

- $\text{WRITEBACK}(pgm)$  is the inverse copying, of the just updated local values for output and shared function terms, back to the 'global' terms in  $pgm$  (NB.  $GlobalUpd$  is local, controlled by  $p = \mathbf{self}$ ):

$\text{WRITEBACK}(pgm) =$

$\mathbf{forall} updData(f_p, s, t) = ((f_p, args), val) \in GlobalUpd$

$f(args) := val$

$GlobalUpd := \emptyset$       --  $GlobalUpd$  assumed to be initially empty

# Ambient ASMs

- Syntax extension: **amb**  $exp$  **in**  $P$  is an ASM program  
– for each  $expression$  and ASM program  $P$

- Function classification extension:

*AmbDependent*( $f$ ) **iff**

**forsome**  $e, e'$  **with**  $e \neq e'$  **forsome**  $x$   $f(e, x) \neq f(e', x)$

Otherwise  $f$  is called *AmbIndependent*.

- *eval* extension by *ambient* parameter: see below
- Semantics extension:

to avoid a signature blow up by dynamic ambient nesting, we treat *amb* as a stack where new ambient expressions are *pushed* (passed by value):

*Yields*(**amb**  $exp$  **in**  $P, S, env, amb, U$ ) **if**

$Yields(P, S, env, \text{PUSH}(eval(exp, S, env, amb), amb), U)$

# Ambient *evaluation* function

*evaluation* function extension by *ambient* parameter:

Case AmbDependent(f):

$$\mathit{eval}(f(t_1, \dots, t_n), S, \mathit{env}, \mathit{amb}) = \\ f_S(\mathit{amb}, \mathit{eval}(t_1, S, \mathit{env}, \mathit{amb}), \dots, \mathit{eval}(t_n, S, \mathit{env}, \mathit{amb}))$$

Case AmbIndependent(f):

$$\mathit{eval}(f(t_1, \dots, t_n), S, \mathit{env}, \mathit{amb}) = \\ f_S(\mathit{eval}(t_1, S, \mathit{env}, \mathit{amb}), \dots, \mathit{eval}(t_n, S, \mathit{env}, \mathit{amb}))$$

NB. Since often the interpretation *env* of free variables is omitted, the *ambient* is called the *environment*.

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