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Modeling Contex-Aware Behavior by Ambient ASMs

Communication Patterns Case Study

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See Ch. 4.4 of Modeling Companion Book http://modelingbook.informatik.uni-ulm.de

Theme: Modeling context-aware system behavior

General question: How to gently model contexts and programs whose run behavior depends on the context in which the program is executed?
NB. Often contexts are called environments, not to be confused with *env* understood as interpretation of free variables

General idea: *use parameterization* to model context dependency.

Here we illustrate modeling context-aware behavior by ambient ASMs via

communication patterns for bilateral and multilateral interaction

- which can be composed and instantiated to a variety of process interaction patterns which
 - go beyond simple request-response sequences
 - may involve a dynamically evolving number of participants (see Barros/Börger 2005) 1

We recapitulate motivation and definition of ambient ASMs

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The role of parameterization for ASMs

- Parameterizing ASM functions and rules permits to directly model:
 partitioning/isolating of states and distributed computations

 of agents which concurrently execute in heterogeneous contexts

 various forms of information hiding (encapsulation of memory)

 statically: scope, module, package, library, etc.
- dynamically: executing agents, threads, process instances, etc.
 patterns of programming, of communication and of control flow
 mobility (environments where agents can move)
 modularity (of specs and property verifications)

Exploit *simplicity of semantical foundation* of parameterization:

 $f(x) = f_{params}(x)$

in particular when used with implicit (hidden) parameters, supporting conventional implicit oo parameterization this.f(x) = f(x)

Ambient ASMs to explicitly support env-sensitive actions

Idea: enrich ASMs by an abstract ambient parameter
with respect to which the terms involved in a step are evaluated
for ambients (environments) at whatever level of abstraction
which can be created, modified, deleted, also at run time

Syntactical construct: **amb** exp **in** P

where to achieve generality in the widest terms

- exp is any expression (term)
- P is any (already defined) ASM program

Intended behavior (see definition below):

- \blacksquare Push the eval(exp, S, env, amb) of the newly declared ambient expression to the current ambient
- execute P in the new ambient

Function classification is extended by ambient (in)dependent fcts.

AmbDependent(f) (wrt Amb) iff

for some $a, a' \in Amb$ with $a \neq a'$ for some $x f(a, x) \neq f(a', x)$

Otherwise f is called AmbIndependent. We also say *environment* (*in*)*dependent*, hoping that Ambients will not be confused with Environments (interpretation of free variables in a state).

Case AmbDependent(f): eval(f(t₁,...,t_n), S, env, amb) = f_S(amb, eval(t₁, S, env, amb), ..., eval(t_n, S, env, amb)) Here f_S is turned into a family of possibly different functions f_{S,amb}.
Case AmbIndependent(f) (unchanged interpretation of f): eval(f(t₁,...,t_n), S, env, amb) = f_S(eval(t₁, S, env, amb), ..., eval(t_n, S, env, amb))

Semantics of ambient ASMs

To avoid a signature blow up by dynamic ambient nesting, we adopt Simone Zenzaro's idea (PhD Thesis, Pisa 2016) to

treat amb as a stack

where new ambient expressions are pushed (passed by value) so that for each $f^{(n)}$ one extension $f^{(n+1)}$ suffices which offers an additional argument position for the interpretation of $f^{(n)}$ in a given ambient.

The body of **amb** exp in P is then executed with the new stack value.

 $Yields(amb \ exp \ in \ P, S, env, amb, U) \ if$

 $Yields(P,S,\mathit{env},\mathsf{Push}(\mathit{eval}(\mathit{exp},S,\mathit{env},\mathit{amb}),\mathit{amb}),\,U)$

NB. Often the execution of P (read: the interpretation f_{S,amb}) depends only on the top of the stack, i.e. on eval(exp, S, env, amb) (flat ambient ASMs, see JCSS 2012).

Only the last declared (most recent) ambient is kept

- instead of an ambient stack (of nested ambients) to which each newly declared environment is PUSHed
 - This was the original definition of ambient ASMs in JCSS 2012, which is generalized by the stack interpretation of ambients.
- For flat ambients it suffices to bind the value of the exp ression in the ambient declaration
- which is computed in the current state (in the current ambient)
- to a logical variable, say *curamb*:

 $(\operatorname{amb}_{\operatorname{flat}} exp \text{ in } P)^* = (\operatorname{let} curamb = exp^* \operatorname{in} P^*)$

• where exp^* is obtained from exp by replacing the names f of ambient dependent functions in exp by f_{curamb}

transformation of terms:

- for AmbIndependent(f) define:

 $(f(t_1,\ldots,t_n))^* = f(t_1^*,\ldots,t_n^*)$

- logical variables and names of parameterized rules are classified as ambient independent
- for AmbDependent(f) define:

 $(f(t_1, \ldots, t_n))^* = f(curamb, t_1^*, \ldots, t_n^*)$

transformation of ambient ASM rules (to standard ASM programs):

- for update rules define:

 $(f(t_1,\ldots,t_n)=t)^*=(f(t_1,\ldots,t_n)^*:=t^*)$

- for ambient rules define (eliminating **amb_{flat}**):

 $(\operatorname{amb}_{\operatorname{flat}} exp \text{ in } P)^* = (\operatorname{let} curamb = exp^* \text{ in } P^*)$

- for the other rules use induction on ASM programs, e.g.

 $(\text{let } x = t \text{ in } P)^* = (\text{let } x = t^* \text{ in } P^*)$



From these basic patterns one can compose any bilateral/multiple-parties communication patterns (see Barros/Börger 2005).

Parameters for bilateral communication

- Let **SEND** be the Send action of the communication medium which is used by the Send patterns but left abstract.
- The following parameters are considered for bilateral communication:whether an *acknowledgement* is requested,
- whether the communication action is *blocking* (in case of reliable delivery), forcing the agent to wait for a response,
- whether the communication action fails,
- whether the communication action is *repeated* (unreliable delivery case) until an acknowledgement arrives.

Therefore we have the following Send pattern types:

 $SendType = \{noAck, Ack, AckAwait, UntilAck, UntilAckAwait\}$

■ noAck (resp. Ack) does not (resp. does) expect an acknowledgement

- Ack (resp. AckAwait) is not (resp. is) blocking
- UntilAck, UntilAckAwait include resending

SENDPATTERN (using communication medium SEND(m))

$\mathbf{SendPattern}(m) =$

- if ToSend(m) then --trigger predicate at the sender
 - if OkSend(m) then -- an open channel connects sender to receiver Send(m)
 - if AckRequested(m) then SetWaitCond(m)
 - if BlockingSend(m) then status := awaitAck(m)
 - else HANDLESENDFAILURE(m, notOkSend)DONE(m)

where

 $\begin{aligned} &\text{DONE}(m) = (readyToSend(m) := false) \\ &\text{ToSend}(m) \text{ iff } readyToSend(m) = true \end{aligned}$

Variations by parameter contraints and component refinements yield the 4 basic bilateral communication patterns above.

Appropriate for reliable communication medium where messages are neither lost nor corrupted:

 $\mathbf{Send}_{noAck}(m) = \mathbf{SendPattern}(m)$

where

AckRequested(m) = falseBlockingSend(m) = false

Requirements:

- sender must SetWAITCONDition for m
- \blacksquare depending on whether sender should be blocked it means that either itself or some other agent should ${\tt BECOMEAWAITHANDLER}$ for m

$$Send_{Ack}(m) = SendPattern(m)$$

where

 $\begin{aligned} AckRequested(m) &= true & -- \text{ constrain } AckRequested \\ BlockingSend(m) &= false & -- \text{ constrain } BlockingSend \\ \text{SETWAITCOND}(m) &= & -- \text{ refine } \text{SETWAITCOND} \\ \textbf{let } a &= new(Agent) & -- \text{ create a handler to wait for an } \text{Ack} \\ \text{BECOMEAWAITHANDLER}(a, m) \end{aligned}$

NB. In the non-blocking case, the sender continues its program execution and the status(sender) does not change.

 $\begin{aligned} \textbf{BECOMEAWAITHANDLER}(a, m) &= \\ caller(a) &:= \textbf{self} \\ callerpgm(a) &:= pgm(\textbf{self}) \\ pgm(a) &:= HANDLEAWAITACK(m) \\ INITIALIZEAWAITPARAMS(m) \end{aligned}$

-- record callback data

-- record callback data

where

 $\label{eq:second} INITIALIZEAWAITPARAMS(m) = -- placeholder for refinements \\ SET(waitParams(m)) -- typically \ deadline, \ resend time, \dots$

NB. HANDLEAWAITACK(m) defined below terminates when an acknowledgement message is received.

- If an ack msg for m arrives, it triggers $\operatorname{TERMINATEAWAITACK}(m)$ which
 - $\ does \ Unblock(status(caller(self))) \ where \ needed \ and \ terminates \ the wait action (by a call back or by deleting the handler)$
 - possibly performs some more action we keep here as a placeholder for future refinements
- Otherwise we forsee that the handler which must wait for an acknowledement may PERFORMOTHERWAITACTIVITIES(m)

${\rm HandleAwaitAck}(m) =$

if Received(Ack(m)) then TERMINATEAWAITACK(m)else PERFORMOTHERWAITACTIVITIES(m)

TERMINATEAWAITACK submachine of HANDLEAWAITACK

TERMINATEAWAITACK(m) =
PERFORMACTION(m) -- to be specified
 -- including UNBLOCK(status(caller(self))) where needed
if self = caller(self) -- if sender itself did HANDLEAWAITACK
then pgm(self) := callerpgm(self) -- switch to original sender pgm
else EXIT

where

EXIT = DELETE(self, Agent) -- kill the agent

Priorities must be established should ${\rm HANDLEAWAITACK}$ be required to also perform some other wait activities

- triggered by events that could happen simultaneously, like timeouts, failure notice, etc.
- We leave them open using the **choose** operator:
- PERFORMOTHERWAITACTIVITIES(m) =

one of

if Timeout(m, waitingForAck) then HANDLETIMEOUT(m, waitingForAck)if Received(Failed(Ack, m)) then HANDLESENDFAILURE(m, Ack)OTHERWAITRULES(m) -- placeholder for extensions

Blocking variant $Send_{AckAwait}$ of $Send_{Ack}$ of

- It suffices to refine ${\rm SetWaitCond}(m)$ to let the sender itself ${\rm BecomeAwaitHandler}({\rm self},m)$
- Therefore the sender is 'blocked' (must interrupt the execution of its current program) by switching to status = awaitAck(m)

 $\mathbf{Send}_{AckAwait}(m) = \mathbf{SendPattern}(m)$

where

 $\begin{aligned} AckRequested(m) &= true \\ BlockingSend(m) &= true \\ \text{SetWaitCond}(m) &= \\ \text{BecomeAwaitHandler}(\textbf{self}, m) \end{aligned}$

NB. By the definition of SENDPATTERN(m):

 $\blacksquare BlockingSend(m) = true \text{ implies that } status(\textbf{self}) := awaitAck(m)$

• AckRequested(m) = true implies that SetWaitCond(m) is called

Refine SEND_{Ack} resp. $\text{SEND}_{AckAwait}$ by including a RESEND(m) rule into OTHERWAITRULES of PERFORMOTHERWAITACTIVITIES(m)

 $\begin{aligned} &\operatorname{PerformOtherWaitActivities}(m) = \operatorname{Resend}(m) \\ &\operatorname{Resend}(m) = \end{aligned}$

if Timeout(resend(m)) then Send(newVersion(m, now))SetTIMER(resend(m))

-- copy and original may differ

SEND UntilAckAwait generalizes Alternating Bit protocol



Mailbox handler requirements

- Goal: a scheme for what a mailbox handler does when messages arrive.
- The mailbox handler uses a RECEIVE machine
 - kept abstract with the intended interpretation to insert Arriving msgs into the destination agent's mailbox.
- We do not treat how the destination agent interacts with its *mailbox*.
- For an Arriving(msg), depending on
- \blacksquare whether the mailbox handler is ReadyToReceive(msg)
- or if not whether ToBeDiscarded(msg) holds
- \blacksquare or if not whether $\mathit{ToBeBuffered}(\mathit{msg})$ holds

four *ReceiveTypes* appear in two versions, without or with receive acknowledgement request:

 $ReceiveType = \{nonBlocking, blocking, discard, buffer\} \\ \cup \{nonBlockingAck, blockingAck, discardAck, bufferAck\}$

The *ReceiveType* parameters mean the following:

- \blacksquare nonBlocking requires that ReadyToReceive(msg) is true
- blocking requires that in case the mailbox handler is not ReadyToReceive(msg), the msg should neither be discarded nor buffered so that the machine must wait until ReadyToReceive(msg) becomes true
- *discard* requires that a *msg* the mailbox handler is not *ReadyToReceive(msg)* should be discarded
- *buffer* requires that a *msg* the mailbox handler is not *ReadyToReceive(msg)* should not be discarded but buffered

We skip the machine which performs the $\ensuremath{\mathrm{FROMBUFFerToMAILBOX}}$ transfer.

ReceivePattern

RECEIVEPATTERN(m) =if Arriving(m) then if ReadyToReceive(m) then $\operatorname{Receive}(m)$ ACKRECEIVE(m)else if ToBeDiscarded(m) then DISCARD(m)ACKDISCARD(m)else if ToBeBuffered(m) then BUFFER(m)ACKBUFFER(m)

We keep Receive(m), Discard(m) and Buffer(m) abstract but assume that they include a CONSUME(m) action • here the update Arriving(m) := false $\operatorname{AckReceive}(m) =$

if ToBeAcknowledged(m, receive) then SEND(Ack(m), to sender(m))ACKDISCARD(m) =

if ToBeAcknowledged(m, discard) then SEND(Ack(m, discarded), to sender(m))ACKBUFFER(m) =

if ToBeAcknowledged(m, buffer) then SEND(Ack(m, buffered), to sender(m)) Goal: Define for each $t \in ReceiveType$ a RECEIVE_t pattern

- $\operatorname{RECEIVE}_t$ can be obtained as instance of $\operatorname{RECEIVE}_t$ PATTERN
- by adding appropriate constraints on the predicates which appear in RECEIVEPATTERN
- The versions with Ack nowledgement are obtained by adding to those without Ack nowledgement the appropriate condition

 $\label{eq:tobeleq} To BeAcknowledged(m, of What) = true \\ \ensuremath{\bullet} \mbox{where} \ of What \in receive, discard, buffer \\ \ensuremath{\bullet}$

We therefore define now the four receive patterns without Ack nowledgement (where ToBeAcknowledged(m, ofWhat) = false).

$$\frac{\text{Receive}_{nonBlocking}(m) = \text{ReceivePattern}(m)}{\text{where}}$$

 $\begin{aligned} Ready To Receive(m) &= true \\ To Be Acknowledged(m, receive) &= false \end{aligned}$

 $\operatorname{Receive}_{blocking}(m) = \operatorname{Receive}_{PATTERN}(m)$ where

ToBeDiscarded(m) = ToBeBuffered(m) = false **if** ReadyToReceive(m) = true **then** ToBeAcknowledged(m, receive) = false **Receive** discard(m) = ReceivePattern(m)where

$$\label{eq:constraint} \begin{split} ToBeDiscarded(m) &= \mathsf{not}\ ReadyToReceive(m) \\ \texttt{if}\ ToBeDiscarded(m)\ \texttt{then} \\ ToBeAcknowledged(m, discard) &= false \end{split}$$

Receive_{buffer}(m) = ReceivePattern(m)

where

ToBeDiscarded(m) = false $ToBeBuffered(m) = not \ ReadyToReceive(m)$ if ToBeBuffered(m) then ToBeAcknowledged(m, buffer) = false $RECEIVE_{nonBlocking}$ as defined above equals RECEIVE.

A variation would be to consider a msg as Received if the mailbox handler is ReadyToReceive(m) or else if it is ToBeDiscarded(m) or else ToBeBuffered(m):

 $\operatorname{RECEIVE}_{nonBlocking}(m) = \operatorname{RECEIVEPATTERN}(m)$ where

 $\begin{aligned} ReadyToReceive(m) &= true \text{ or } ToBeDiscarded(m) = true \\ \text{ or } ToBeBuffered(m) &= true \\ ToBeAcknowledged(m, receive) &= \\ ToBeAcknowledged(m, discard) &= \\ ToBeAcknowledged(m, buffer) &= false \end{aligned}$

To constrain agents to receive only responses to a previously sent request, the *responseMsg* and the *reqMsg* must be related
by a common item of information in the request and the response that allows these two messages to be unequivocally related to one another

One way to achieve this without explicit sequentialization of sender steps is as follows:

- It SentReqMsg(sender) be a set where reqMsgs are recorded - as part of a refined SENDs
- let the responder relate its responseMsg to a reqMsg by: responseTag(responseMsg) := reqMsg
 - -and include it into *responseMsg* as part of its refined SENDRESPONSE
- **•** check $responseTag(m) \in SentReqMsg$ if Arrived(m)

 $\frac{\text{SENDRECEIVE}_{s,t}(m) = \text{one of } (\{\text{SEND}_{s}(m), \text{RECEIVE}_{t}(m)\})$ where

Arriving(m) iff Arrived(m) and $responseTag(m) \in SentReqMsg$ $s \in SendType, t \in ReceiveType$

NB. By Lamport's ordering of communication events (see Comm. ACM 21.7 (1978))
every sender's SEND(m') precedes the receiver's RECEIVE(m')
which precedes the receiver's SEND(m) tagged m'
which precedes the sender's RECEIVE(m).

Bilateral RECEIVESEND $_{t,s}$

Iet ReqMsgToAnswer(sender) be a set where reqMsgs which require sending an answer are recorded

- as part of a refined Receive_t

 \blacksquare let IsAnswer(m, reqMsg) express that the message m is the answer to the received reqMsg recorded in ReqMsgToAnswer

 $\mathbf{ReceiveSend}_{t,s}(m) = \mathbf{one of} \left(\{ \mathbf{Receive}_t(m), \mathbf{Send}_s(m) \} \right)$

where

 $ToSend(m) \text{ iff} \\ readyToSend(m) = true \text{ and} \\ \text{forsome } reqMsg \in ReqMsgToAnswer \ IsAnswer(m, reqMsg) \\ t \in ReceiveType, s \in SendType \end{cases}$

NB. This pattern is used in the web service mediator (VIRTUALPROVIDER) in Ch.5.1 of the Modeling Companion Book.

Basic multilateral communication patterns

Goal: formulate schemes for communication among multiple partieswhere requests are sent to, and responses received from, multiple parties instead of a pair of one sender and one receiver

Considering multiple senders/receivers turns the basic bilateral communication patterns into the following four basic multi-lateral communication patterns:



From these basic patterns one can compose any communication interaction among multiple parties (see Barros/Börger 2005).

ONETOMANYSEND: Broadcast

ONETOMANYSEND $_{s}(m)$ is a refinement of $Send_{s}(m)$

• i.e. of SENDPATTERN(m) constrained by $t \in SendType$

refining the communication medium SEND(m) to a BROADCAST(m)
requiring a (possibly dynamic) set Recipient(m) at the sender side
msg content may depend on the receiver: 'instantiate a template (i.e. payload) with data that varies from one party to another'

ONETOMANYSEND_{noAck}(m) = SENDPATTERN(m)

where

 $\begin{aligned} AckRequested(m) &= BlockingSend(m) = false & --Send_{noAck} \\ Send(m) &= BroadCast(m) \\ BroadCast(m) = \end{aligned}$

forall $r \in Recipient(m)$ SEND(payload(m, r), r)

Analogously for other SendTypes than noAck.

ONEFROMMANYRECEIVE

- Concept implies correlating arriving messages into correlation Groups, say corresponding to a message type.
- Idea: refine in the $\operatorname{RECEIVEPATTERN}$
- ReadyToReceive(m) to whether the corresponding message group is Accepting messages of that type
- $\blacksquare \operatorname{Receive}$ action as msg insertion into correlated group
- abstracting from further refinable internal group management (group creation, consolidation, closure) and ack requirements (see Barros/Börger 2005)
- **ONEFROMMANYRECEIVE** $discard(m) = \operatorname{RECEIVE} discard(m)$

where

- $\begin{aligned} ReadyToReceive(m) &= Accepting(group(type(m)))\\ \text{Receive}(m) &= \text{Insert}(m,group(type(m))) \end{aligned}$
- Analogously for other *ReceiveTypes* than *discard*.

ONETOMANYSENDRECEIVE

Combine ONETOMANYSEND with ONEFROMMANYRECEIVE with SentReqMsg, responseTag to relate Send/Receive actions — as defined for the corresponding bilateral case SENDRECEIVE

ONETOMANYSENDRECEIVE $_{s,t}(m) =$ **one of**

 $\{ \texttt{OneToManySend}_s(m), \texttt{OneFromManyReceive}_t(m) \}$ where

Arriving(m) iff Arrived(m) and $responseTag(m) \in SentReqMsg$

- Internal group management decides when a group of collected responses is sufficient to be taken for further operation as an answer to the broadcasted request (exl: VirtualProvider).
- Timing requirements, for example that responses are expected within a given time frame, concern the internal group management.

This pattern is used in the web service mediator (VIRTUALPROVIDER) in Ch.5.1 of the Modeling Companion Book.

ONEFROMMANYRECEIVESEND

responseMsg typically formed on the basis of a somehow Completed group of received reqMsgs

- $\blacksquare Completed(g)$ expresses group consolidation
- In *IsAnswer* refine *reqMsg* by a group, so that:

IsAnswer(m, g) iff m = responseMsg(g)

ONEFROMMANYRECEIVESEND_{t,s}(m) =

ONEFROMMANYRECEIVE $_t(m)$

ONETOMANYSEND_s(m)

where ToSend(m) iff readyToSend(m) = true and

for some $g \in Group$ with Completed(g) IsAnswer(m, g)

Exl: message routing through a network
forwarding (via ONETOMANYSEND) to Recipients msgs found in communicator's mailbox (via ONEFROMMANYRECEIVE)
Glässer/Gurevich/Veanes: IEEE Trans. Sw Engg 30 (7) 2004

References

- E. Börger and A. Raschke: Modeling Companion for Software Practitioners. Springer 2018 http://modelingbook.informatik.uni-ulm.de
 - Ch.4 contains other applications of ambient ASMs for contex-aware system models and further references

On communication patterns:

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- U. Glässer and Y. Gurevich and M. Veanes: Abstract communication model for distributed systems.
 - IEEE Trans. Sw Engg 30 (7) 2004

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